Research security assessment and risk management plan

This appendix is mandatory in all applications submitted to the Research Council of Finland (RCF). Save the appendix in PDF format; do not print to PDF. Name the file as follows: **researchsecurity_RCFyear_yoursurname.pdf.**

If you need help filling in the appendix, please primarily contact the support services at your own organisation!

The appendix has two parts:

A) Research security self-assessment: Each applicant must fill in this section. Answer all questions 1–4. First read the Council of the European Union's recommendation on enhancing research security. Please note that answering "Yes" does not prevent you from receiving funding!

B) Risk management plan: Applicant must fill in this section, if the answer to one or more questions in section A is "Yes". Answer all questions 1–3.

A) Research security self-assessment

1. Researchers must be familiar with their international collaborators and the risks involved in the cooperation. Are there any collaborators (individuals or organisations) involved in the project that increase security risks? Based on your background check, is there reason to suspect, for example, • cooperation with the armed forces or governments of countries outside the EU in a manner that jeopardises research security? Please note that cooperation is not possible if sanctions are imposed on the collaborator (see EU sanctions map).	Yes No
2. Does the project presented in the application fall within the area of critical technology? • See Critical technology areas for the EU's economic security (PDF). • Please note that the RCF may fund research in the area of critical technology if the risks involved have been adequately taken into account.	Yes No
3. Do the results of the project presented in the application have dual-use potential, or does the project use equipment with dual-use potential? • Dual use means suitability for military purposes in addition to normal civilian use. • See, for example, EU Commission recommendation on research involving dual-use items. • Please note that the RCF may fund research with dual-use potential if the risks involved have been adequately taken into account.	Yes No
4. Are there any other identifiable risks related to research security in the application? For example, risk related to the following aspects: • restricting academic freedom, or political influence • using research results in a way that restricts fundamental rights • sensitive personal data or large datasets • respect for the rule of law or the protection of human rights in the collaborator country.	Yes No

B) Risk management plan

If you answered "Yes" to one or more of the questions in section A, provide answers to the three points below for each risk identified in your self-assessment.

1) Briefly describe the risks involved (e.g. whether research linked to these categories involves the transfer of information within or outside the EU).
2) Assess the effects of the risks (how significant the consequences of the risk materialising would be) and their probability (how likely the risk is to materialise).
3) Present a risk management plan describing the risk management measures (how risks are prevented, reduced or managed) and how the risks are monitored (the risks and the circumstances affecting them are not permanent, i.e., what kind of procedures are in place to monitor possible changes).